## **QGSJET-III model: novel features**

# Sergey Ostanchenko

## ISCRA-2021 Moscow, June 08-10, 2021

- Wealth of relevant experimental data from LHC: precision era for MC generators of hadronic collisions
- ullet  $\Rightarrow$  improvements of existing models
  - notably, new approaches for missing interaction mechanisms
  - also fine-tuning of model parameters

- Wealth of relevant experimental data from LHC: precision era for MC generators of hadronic collisions
- $\Rightarrow$  improvements of existing models
  - notably, new approaches for missing interaction mechanisms
  - also fine-tuning of model parameters

#### Here few selected topics will be discussed

- treatment of higher twist corrections to hard parton scattering
- Good-Walker approach for diffraction and 'color fluctuations'
- pion exchange process in pp scattering and LHCf data

#### Qualitative picture for hadronic MC event generators

- QCD-inspired: interaction mediated by parton cascades
- multiple scattering (many cascades in parallel)
- real cascades  $\Rightarrow$  particle production
- virtual cascades ⇒ elastic rescattering (momentum transfer)
- generally nonperturbative physics
   ⇒ phenomenological approaches



#### Qualitative picture for hadronic MC event generators

- QCD-inspired: interaction mediated by parton cascades
- multiple scattering (many cascades in parallel)
- real cascades  $\Rightarrow$  particle production
- virtual cascades ⇒ elastic rescattering (momentum transfer)
- generally nonperturbative physics
   ⇒ phenomenological approaches



### Qualitative picture for hadronic MC event generators

- QCD-inspired: interaction mediated by parton cascades
- multiple scattering (many cascades in parallel)
- real cascades ⇒ particle production
- virtual cascades ⇒ elastic rescattering (momentum transfer)

#### At very high energies, significant nonlinear effects expected

When parton density becomes high (high energy and/or small *b*):

- parton cascades strongly overlap and interact with each other
- ⇒ shadowing effects (slower rise of parton density)
- saturation: parton production compensated by fusion of partons





#### Usually a picture of a crowded bus in mind (for sufficiently low $Q^2$ )

• one often speaks about 'unitarity': impossible to squeeze too many partons in a small volume



#### Usually a picture of a crowded bus in mind (for sufficiently low $Q^2$ )

- one often speaks about 'unitarity': impossible to squeeze too many partons in a small volume
- but: partons are meaningful only in the perturbative regime (relatively high Q<sup>2</sup>)
- partons are not observable



#### Usually a picture of a crowded bus in mind (for sufficiently low $Q^2$ )

- one often speaks about 'unitarity': impossible to squeeze too many partons in a small volume
- but: partons are meaningful only in the perturbative regime (relatively high Q<sup>2</sup>)
- partons are not observable



#### Usually a picture of a crowded bus in mind (for sufficiently low $Q^2$ )

- one often speaks about 'unitarity': impossible to squeeze too many partons in a small volume
- but: partons are meaningful only in the perturbative regime (relatively high  $Q^2$ )
- partons are not observable



#### Observable are (hard) interactions of partons

 here same argument applies: not too many boxing pairs at the same ring



#### Usually a picture of a crowded bus in mind (for sufficiently low $Q^2$ )

- one often speaks about 'unitarity': impossible to squeeze too many partons in a small volume
- but: partons are meaningful only in the perturbative regime (relatively high  $Q^2$ )
- partons are not observable



#### Observable are (hard) interactions of partons

- here same argument applies: not too many boxing pairs at the same ring
- but: one may have arbitrary many virtual boxers (= partons) at the ring, if they don't fight (no problem with observations/unitarity)



- multiple scattering = multi-Pomeron exchanges (multiple parton cascades)
- allows to calculate: cross sections & partial probabilities of final states



- multiple scattering = multi-Pomeron exchanges (multiple parton cascades)
- allows to calculate: cross sections & partial probabilities of final states



For hard processes: 'semihard Pomeron' approach [Drescher, Hladik, SO, Pierog & Werner, 2001]

- soft Pomerons to describe soft (parts of) cascades  $(p_t^2 < Q_0^2)$ 
  - $\Rightarrow$  transverse expansion (finite Pomeron slope)
- DGLAP for hard cascades
- altogether: 'general Pomeron'



- multiple scattering = multi-Pomeron exchanges (multiple parton cascades)
- allows to calculate: cross sections & partial probabilities of final states



For hard processes: 'semihard Pomeron' approach [Drescher, Hladik, SO, Pierog & Werner, 2001]

- soft Pomerons to describe soft (parts of) cascades  $(p_t^2 < Q_0^2)$ 
  - $\Rightarrow$  transverse expansion (finite Pomeron slope)
- DGLAP for hard cascades
- altogether: 'general Pomeron'



- multiple scattering = multi-Pomeron exchanges (multiple parton cascades)
- allows to calculate: cross sections & partial probabilities of final states



For hard processes: 'semihard Pomeron' approach [Drescher, Hladik, SO, Pierog & Werner, 2001]

- soft Pomerons to describe soft (parts of) cascades  $(p_t^2 < Q_0^2)$ 
  - $\Rightarrow$  transverse expansion (finite Pomeron slope)
- DGLAP for hard cascades
- altogether: 'general Pomeron'



- multiple scattering = multi-Pomeron exchanges (multiple parton cascades)
- allows to calculate: cross sections & partial probabilities of final states





Any model should respect collinear factorization of pQCD

$$\sigma_{pp}^{\text{jet}}(s, p_{\text{t,cut}}) = \sum_{I,J=q,\bar{q},g} \int_{p_{\text{t}}>p_{\text{t,cut}}} dp_{t}^{2} \int dx^{+} dx^{-} \frac{d\sigma_{IJ}^{2 \to 2}(x^{+}x^{-}s, p_{t}^{2})}{dp_{t}^{2}} \\ \times f_{I/p}(x^{+}, M_{\text{F}}^{2}) f_{J/p}(x^{-}, M_{\text{F}}^{2})$$

(4回) (三) (三)

• 
$$\Rightarrow \sigma_{pp}^{\text{jet}}(s, Q_0^2) \propto \frac{1}{Q_0^2} s^{\Delta_{\text{eff}}}, \ \Delta_{\text{eff}} \simeq 0.3$$

Any model should respect collinear factorization of pQCD

$$\sigma_{pp}^{\text{jet}}(s, p_{\text{t,cut}}) = \sum_{I,J=q,\bar{q},g} \int_{p_{\text{t}} > p_{\text{t,cut}}} dp_{t}^{2} \int dx^{+} dx^{-} \frac{d\sigma_{IJ}^{2 \to 2}(x^{+}x^{-}s, p_{t}^{2})}{dp_{t}^{2}} \\ \times f_{I/p}(x^{+}, M_{\text{F}}^{2}) f_{J/p}(x^{-}, M_{\text{F}}^{2})$$

• 
$$\Rightarrow \sigma_{pp}^{\text{jet}}(s, Q_0^2) \propto \frac{1}{Q_0^2} s^{\Delta_{\text{eff}}}, \Delta_{\text{eff}} \simeq 0.3$$
  
•  $\Rightarrow dN_{\text{ch}}/d\eta|_{\eta=0} \propto \sigma_{pp}^{\text{jet}}$  explodes at high energies for small  $Q_0^2$ 

#### Any model should respect collinear factorization of pQCD

$$\sigma_{pp}^{\text{jet}}(s, p_{\text{t,cut}}) = \sum_{I,J=q,\bar{q},g} \int_{p_{\text{t}} > p_{\text{t,cut}}} dp_{t}^{2} \int dx^{+} dx^{-} \frac{d\sigma_{IJ}^{2 \to 2}(x^{+}x^{-}s, p_{t}^{2})}{dp_{t}^{2}} \\ \times f_{I/p}(x^{+}, M_{\text{F}}^{2}) f_{J/p}(x^{-}, M_{\text{F}}^{2})$$

• 
$$\Rightarrow \sigma_{pp}^{\text{jet}}(s, Q_0^2) \propto \frac{1}{Q_0^2} s^{\Delta_{\text{eff}}}, \Delta_{\text{eff}} \simeq 0.3$$

•  $\Rightarrow$   $dN_{
m ch}/d\eta|_{\eta=0} \propto \sigma_{pp}^{
m jet}$  explodes at high energies for small  $Q_0^2$ 

- in QGSJET-II-04, a rather large value (3  $\text{GeV}^2$ ) is used
- but: pQCD should work down to  $Q_0 \sim 1$  GeV?!

Any model should respect collinear factorization of pQCD

$$\sigma_{pp}^{\text{jet}}(s, p_{\text{t,cut}}) = \sum_{I,J=q,\bar{q},g} \int_{p_{\text{t}} > p_{\text{t,cut}}} dp_t^2 \int dx^+ dx^- \frac{d\sigma_{IJ}^{2 \to 2}(x^+ x^- s, p_t^2)}{dp_t^2}$$
$$\times f_{I/p}(x^+, M_{\text{F}}^2) f_{J/p}(x^-, M_{\text{F}}^2)$$
$$\bullet \Rightarrow \sigma_{pp}^{\text{jet}}(s, Q_0^2) \propto \frac{1}{Q_0^2} s^{\Delta_{\text{eff}}}, \Delta_{\text{eff}} \simeq 0.3$$

- $\Rightarrow \left. dN_{
  m ch}/d\eta \right|_{\eta=0} \propto \sigma_{pp}^{
  m jet}$  explodes at high energies for small  $Q_0^2$ 
  - in QGSJET-II-04, a rather large value (3  $\text{GeV}^2$ ) is used
  - but: pQCD should work down to  $Q_0 \sim 1$  GeV?!

PDFs  $f_{I/p}(x, Q^2)$ : already constrained by HERA data  $\Rightarrow$  no freedom for stronger parton saturation





• what can prevent partons from interacting with each other?!



 what can prevent partons from interacting with each other?!

#### Collinear factorization: valid at leading twist (up to $1/Q^n$ terms)

- corrections due to parton rescattering on 'soft' ( $x \simeq 0$ ) gluons [Qiu & Vitev, 2004, 2006]
  - hard scattering involves any number of additional gluon pairs
  - corrections suppressed as  $1/(p_{\rm t}^2)^n$



273

 what can prevent partons from interacting with each other?!

#### Collinear factorization: valid at leading twist (up to $1/Q^n$ terms)

- corrections due to parton rescattering on 'soft' ( $x \simeq 0$ ) gluons [Qiu & Vitev, 2004, 2006]
  - hard scattering involves any number of additional gluon pairs
  - corrections suppressed as  $1/(p_t^2)^n$



#### QGSJET-III: phenomenological implementation of the mechanism

• with HT effects: dependence on  $Q_0$ -cutoff strongly reduced (currently:  $Q_0^2 = 2 \text{ GeV}^2$ )





#### HT effects: impact on cross sections & particle production



- stronger effect at higher energies
- mostly for moderately small pt: the effect fades away with increasing pt (∝ 1/pt<sup>2</sup>)

#### HT effects: impact on cross sections & particle production



 $\mathcal{D}\mathcal{A}\mathcal{C}$ 



+

+ •

▲□▶ ▲圖▶ ▲≣▶ ▲≣▶ = 三 ののの

+



+

• in *pp* scattering, those states undergo different absorption:  $|p\rangle = \sum_i \sqrt{C_i} |i\rangle \rightarrow \sum_i \sqrt{C'_i} |i\rangle = \alpha |p\rangle + \beta |p^*\rangle$ 

•  $\Rightarrow$  this generally produces excited proton states  $|p^*
angle$ 

+ •

Good-Walker approach: proton is a superposition of a number of elastic scattering eigenstates:  $|p\rangle = \sum_{i} \sqrt{C_i} |i\rangle$ 

• in *pp* scattering, those states undergo different absorption:  $|p\rangle = \sum_{i} \sqrt{C_{i}} |i\rangle \rightarrow \sum_{i} \sqrt{C'_{i}} |i\rangle = \alpha |p\rangle + \beta |p^{*}\rangle$ 

+

•  $\Rightarrow$  this generally produces excited proton states  $|p^*
angle$ 

 the treatment involves interaction eikonals χ<sup>tot</sup><sub>pp(ij)</sub>(s, b, Q<sub>0</sub><sup>2</sup>) for different combinations of such states, e.g.

$$\sigma_{pp}^{\text{inel}}(s,b) = \sum_{i,j} C_i C_j \int d^2 b \left[ 1 - e^{-2\chi_{pp(ij)}^{\text{tot}}(s,b)} \right]$$

+ •

Good-Walker approach: proton is a superposition of a number of elastic scattering eigenstates:  $|p\rangle = \sum_{i} \sqrt{C_i} |i\rangle$ 

• in *pp* scattering, those states undergo different absorption:  $|p\rangle = \sum_{i} \sqrt{C_{i}} |i\rangle \rightarrow \sum_{i} \sqrt{C'_{i}} |i\rangle = \alpha |p\rangle + \beta |p^{*}\rangle$ 

+

•  $\Rightarrow$  this generally produces excited proton states  $|p^*
angle$ 

• the treatment involves interaction eikonals  $\chi_{pp(ij)}^{\text{tot}}(s, b, Q_0^2)$  for different combinations of such states, e.g.

$$\sigma_{pp}^{\text{inel}}(s,b) = \sum_{i,j} C_i C_j \int d^2 b \left[ 1 - e^{-2\chi_{pp(ij)}^{\text{tot}}(s,b)} \right]$$

• for each state  $|i\rangle$ : its own size & parton density



'Color fluctuations approach' [Frankfurt et al., 2008]



$$\sum_{I=q,\bar{q},g} \int dx \, x f_{I/p(i)}(x,Q^2) = 1$$

 ⇒ harder gluon PDFs for smaller states









• smaller states, apart from smaller sizes, have smaller opacity

#### Interaction profiles for different combinations of states



• smaller states, apart from smaller sizes, have smaller opacity

•  $\sqrt{s} = 10 \text{ GeV}: \sigma_{pp}^{\text{inel}}(b) < 1$ , even at  $b \rightarrow 0$ 

ullet  $\Rightarrow$  wide kinematic range for diffraction

#### Interaction profiles for different combinations of states



• smaller states, apart from smaller sizes, have smaller opacity

•  $\sqrt{s} = 10$  GeV:  $\sigma_{pp}^{\text{inel}}(b) < 1$ , even at  $b \rightarrow 0$ 

 $\bullet \ \Rightarrow$  wide kinematic range for diffraction

•  $\sqrt{s} = 10$  TeV:  $\sigma_{pp}^{\text{inel}}(b) \simeq 1$ , at small b

•  $\Rightarrow$  diffraction only possible at large b

#### Interaction profiles for different combinations of states



• smaller states, apart from smaller sizes, have smaller opacity

• 
$$\sqrt{s} = 10$$
 GeV:  $\sigma_{pp}^{\text{inel}}(b) < 1$ , even at  $b \rightarrow 0$ 

ullet  $\Rightarrow$  wide kinematic range for diffraction

• 
$$\sqrt{s} = 10$$
 TeV:  $\sigma_{pp}^{\text{inel}}(b) \simeq 1$ , at small *b*  
Of importance to reduce low mass diffraction at high energies  
• e.g.  $\sigma^{\text{SD}}(M_X < 3.4 \text{ GeV}) = 3.3 \text{ mb in QGS IET-III}$ 

compared to  $2.62 \pm 2.17$  mb from TOTEM

- forward hadron production in *p*-air & π-air interactions: high importance for EAS simulations
  - dominated by diffractive contributions
  - but: important role of special non-diffractive (ND) interactions: RRP contributions

- forward hadron production in *p*-air & π-air interactions: high importance for EAS simulations
  - dominated by diffractive contributions
  - but: important role of special non-diffractive (ND) interactions: RRP contributions
  - $\pi$ -exchange process may be dominant (small pion mass)



- forward hadron production in *p*-air & π-air interactions: high importance for EAS simulations
  - dominated by diffractive contributions
  - but: important role of special non-diffractive (ND) interactions: RRP contributions
  - $\pi$ -exchange process may be dominant (small pion mass)



not described properly by all the models

• cross section for  $\pi$ -exchange (e.g. Kaidalov et al., 2006):

$$\frac{d^2 \sigma(pp \to nX)}{dx_n \, dt} = \frac{-t \, G_{\pi^+ pn}^2}{16\pi^2 (t - m_{\pi}^2)^2} F^2(t) \, \sigma_{\pi p}^{\text{tot}}(M_X^2) \, (1 - x_n)^{1 - 2\alpha_{\pi}(t)}$$
$$\alpha_{\pi}(t) = \alpha_{\pi}'(t - m_{\pi}^2), \, \alpha_{\pi}' \simeq 1 \, \text{GeV}^{-2}; \, F(t) \simeq e^{R_{\pi}^2 t}, \, R_{\pi}^2 \simeq 0.3 \, \text{GeV}^{-2}$$

**□ > < E >** 

• cross section for  $\pi$ -exchange (e.g. Kaidalov et al., 2006):

$$\frac{d^2\sigma(pp\to nX)}{dx_n\,dt} = \frac{-t\,G_{\pi^+pn}^2}{16\pi^2(t-m_{\pi}^2)^2}\,F^2(t)\,\sigma_{\pi p}^{\rm tot}(M_X^2)\,(1-x_n)^{1-2\alpha_{\pi}(t)}$$

$$\alpha_{\pi}(t) = \alpha'_{\pi}(t - m_{\pi}^2), \; \alpha'_{\pi} \simeq 1 \; \text{GeV}^{-2}; \; F(t) \simeq e^{R_{\pi}^2 t}, \; R_{\pi}^2 \simeq 0.3 \; \text{GeV}^{-2}$$

One may approximate  $\sigma_{\pi\rho}^{\text{tot}}$  by 1-Pomeron exchange

$$\Rightarrow \sigma_{\pi p}^{\text{tot}}(M_X^2) \propto (M_X^2)^{\alpha_{\mathbb{P}}(0)-1}; M_X^2 = s(1-x_n)$$
$$\frac{d^2 \sigma(pp \to nX)}{dx_n \, dt} \propto \frac{-t \, s^{\alpha_{\mathbb{P}}(0)-1}}{(t-m_{\pi}^2)^2} \, (1-x_n)^{\alpha_{\mathbb{P}}(0)-2\alpha'_{\pi}(t-m_{\pi}^2)} \, e^{2R_{\pi}^2 t}$$

•  $x_n \to 1$  suppressed by the  $(1 - x_n)$  factor;  $x_n \to 0$ : by  $e^{2R_{\pi}^2 t}$  $(-t = p_{\perp}^2/x_n + (1 - x_n)^2 m_N^2/x_n) \Rightarrow \text{pion 'bump'}$ 

• cross section for  $\pi$ -exchange (e.g. Kaidalov et al., 2006):

$$\frac{d^2\sigma(pp\to nX)}{dx_n dt} = \frac{-t G_{\pi^+pn}^2}{16\pi^2 (t-m_{\pi}^2)^2} F^2(t) \,\sigma_{\pi p}^{\text{tot}}(M_X^2) \,(1-x_n)^{1-2\alpha_{\pi}(t)}$$

$$\alpha_{\pi}(t) = \alpha'_{\pi}(t - m_{\pi}^2), \; \alpha'_{\pi} \simeq 1 \; \text{GeV}^{-2}; \; F(t) \simeq e^{R_{\pi}^2 t}, \; R_{\pi}^2 \simeq 0.3 \; \text{GeV}^{-2}$$

One may approximate  $\sigma_{\pi\rho}^{\text{tot}}$  by 1-Pomeron exchange

• 
$$\Rightarrow \sigma_{\pi p}^{\text{tot}}(M_X^2) \propto (M_X^2)^{\alpha_{\mathbb{P}}(0)-1}; M_X^2 = s(1-x_n)$$

$$\frac{d^2 \sigma(pp \to nX)}{dx_n dt} \propto \frac{-t \, s^{\alpha_{\mathbb{P}}(0)-1}}{(t-m_{\pi}^2)^2} \left(1-x_n\right)^{\alpha_{\mathbb{P}}(0)-2\alpha'_{\pi}(t-m_{\pi}^2)} e^{2R_{\pi}^2 t}$$

•  $x_n \to 1$  suppressed by the  $(1-x_n)$  factor;  $x_n \to 0$ : by  $e^{2R_{\pi}^2 t}$  $(-t = p_{\perp}^2/x_n + (1-x_n)^2 m_N^2/x_n) \Rightarrow$  pion 'bump'

• NB:  $s^{\Delta}$  ( $\Delta = \alpha_{\mathbb{P}}(0) - 1 > 0$ ) energy rise would violate unitarity

• cross section for  $\pi$ -exchange (e.g. Kaidalov et al., 2006):

$$\frac{d^2\sigma(pp\to nX)}{dx_n dt} = \frac{-t G_{\pi^+pn}^2}{16\pi^2 (t-m_{\pi}^2)^2} F^2(t) \,\sigma_{\pi p}^{\text{tot}}(M_X^2) \,(1-x_n)^{1-2\alpha_{\pi}(t)}$$

$$\alpha_{\pi}(t) = \alpha'_{\pi}(t - m_{\pi}^2), \ \alpha'_{\pi} \simeq 1 \ \text{GeV}^{-2}; \ F(t) \simeq e^{R_{\pi}^2 t}, \ R_{\pi}^2 \simeq 0.3 \ \text{GeV}^{-2}$$

One may approximate  $\sigma_{\pi\nu}^{\text{tot}}$  by 1-Pomeron exchange

 $dx_n dt$ 

• 
$$\Rightarrow \sigma_{\pi p}^{\text{tot}}(M_X^2) \propto (M_X^2)^{\alpha_{\mathbb{P}}(0)-1}; M_X^2 = s(1-x_n)$$
  
$$\frac{d^2 \sigma(pp \to nX)}{dx dt} \propto \frac{-t s^{\alpha_{\mathbb{P}}(0)-1}}{(t-x_n)^{\alpha_{\mathbb{P}}(0)-2\alpha'_{\pi}(t-m_{\pi}^2)}} e^{2R_{\pi}^2 t}$$

 $(t - m_{\pi}^2)^2$ 

•  $\Rightarrow$  one has to account for absorptive effects

 NB: same energy-dependence (s<sup>Δ</sup>) for π-exchange as for single (cut) Pomeron (1P) exchange

 NB: same energy-dependence (s<sup>Δ</sup>) for π-exchange as for single (cut) Pomeron (1P) exchange



- eikonal rapidity gap suppression factor (to exclude additional inelastic rescatterings)
- enhanced diagrams (to exclude inelastic rescattering of intermediate partons in the Pomeron)

 NB: same energy-dependence (s<sup>Δ</sup>) for π-exchange as for single (cut) Pomeron (1P) exchange



- eikonal rapidity gap suppression factor (to exclude additional inelastic rescatterings)
- enhanced diagrams (to exclude inelastic rescattering of intermediate partons in the Pomeron)
- $\Rightarrow$  treat  $\pi$ -exchange as a part (probability  $w_{\pi}$ ) of 1P-exchange

Comparison to LHCf data at  $\sqrt{s} = 13$  TeV ( $w_{\pi} = 0.3$ )



- forward neutron production: dominated by ND (no  $\pi$ -exch.)
- $\pi$ -exchange: important in the highest  $\eta$  bins
- comparable contribution from inelastic diffraction

Comparison to LHCf data at  $\sqrt{s} = 13$  TeV ( $w_{\pi} = 0.3$ )



•  $\pi$ -exchange: important in the highest  $\eta$  bins

comparable contribution from inelastic diffraction

Comparison to LHCf data at  $\sqrt{s} = 13$  TeV ( $w_{\pi} = 0.3$ )



Comparison to LHCf data at  $\sqrt{s} = 13$  TeV ( $w_{\pi} = 0.3$ )



Comparison to LHCf data at  $\sqrt{s} = 13$  TeV ( $w_{\pi} = 0.3$ )

